

Symposium: Genetic aspects of male (in)fertility

Azoospermia factor (AZF) in Yq11: towards a molecular understanding of its function for human male fertility and spermatogenesis



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Peter Vogt was born in Zülpich, Germany in 1950. He obtained MSc and PhD degrees in biochemistry and physical chemistry respectively from the University of Aachen. In 1977, he was post-doctoral fellow first at the Max-Planck Institute of Biology in Tübingen, Germany, then at the Genetics department of the University of Nijmegen (Netherlands), learning the basic genetics of the Y chromosomal fertility genes of *Drosophila*. In 1982, he became an associate professor at the University of Nijmegen and extended his research on the structure and function of repetitive sequence families with a locus specific structure in *Drosophila* and in the human genome. He transferred his major research interest to the human Y chromosome and started in 1986 his molecular analysis on the 'Azoospermia Factor' (AZF) in Yq11 at the Institute of Human Genetics of the University of Heidelberg, Germany. In 2000, his research interest became more general on the molecular control mechanisms of germ cell development in humans. In 2002 he became head of the section of Molecular Genetics and Infertility at the Reproductive Medicine Centre of the University of Heidelberg. He has over 100 publications in international books and journals.

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Abstract

The Y chromosomal azoospermia factor (AZF) is essential for human spermatogenesis. It has been mapped by molecular deletion analyses to three subintervals in Yq11, AZFa, AZFb, and AZFc, containing a number of genes of which at least some control, post-transcriptionally, the RNA metabolism of other spermatogenesis genes, functionally expressed at different phases of the spermatogenic cycle. Intrachromosomal recombination events between homologous large repetitive sequence block in Yq11 are now recognized as the major cause of the AZFa, AZFb and AZFc microdeletions, and an overlap of the AZFb and AZFc regions was revealed by sequence analysis of the complete Yq11 region. The increasing knowledge of the expression patterns of *AZF* genes in human germ cells suggests that the *DBY* gene is the major *AZFa* gene, the *RBM Y* gene the major *AZFb* gene, although a functional expression of the other *AZFa/b* genes in the male germ line is also most likely. Genetic redundancy might exist in AZFc because a number of gene copies in the large P1 palindrome structure in distal AZFc were found to be deleted also in fertile men.

Keywords: AZF and Yq11 microdeletions, AZF gene expressions in male germ cells, AZF gene evolution, AZF locus in Yq11, Yq11-macrodeletions and X0 cells

Macrodeletions of the Y chromosome of infertile men defines AZF locus in Yq11

The azoospermia factor (*AZF*) in Yq11 was first mapped by cytogenetic observations of microscopically visible deletions (i.e. macrodeletions) of the Y chromosome in infertile patients, which always affected Yq11 (Tiepolo and Zuffardi, 1976). The six azoospermic men with a monocentric Yq11- chromosomes studied by Tiepolo and Zuffardi displayed severe disruption of spermatogenesis in their testicular tissue sections. This suggested an essential function of *AZF* for the cellular proliferation and differentiation of the human male germ cell. Numerous similar studies have confirmed this basic

assumption (Sandberg, 1985), although the individual histological evaluation of the patients' testicular tubules also points to an extension of the first observed phenotypes, promoting the basic idea that there might be a gradient of testicular pathologies observed with Yq11 macrodeletions, and that the *AZF* locus might be composed of a number of Y genes functioning along the complete spermatogenic maturation process of the male germ cell (Faed *et al.*, 1982; Hartung *et al.* 1988; Vogt, 1996).

Surprisingly, a large number of men with a macrodeletion in Yq11 were first *not* detectable by the routine Giemsa staining protocol because a secondary rearrangement had fused two broken Yq11- chromosomes, together forming dicentric Yq11-

chromosomes also called 'dic-Yp' because of the duplicated short Y arm (Daniel, 1985). Even today, these Y chromosomes are often wrongly described as being 'normal' because their size is similar to that of normal Y chromosome. Both can only be distinguished after staining the chromosomes with quinacrine. The rearranged dic-Yp chromosomes have lost the large fluorescent heterochromatin block in Yq12, marking the normal Y chromosomes also in the interphase nucleus. The dic-Yp chromosomes are therefore also called 'non-fluorescent' Y chromosomes (*Ynf*). Today *Ynf* chromosomes can be easily diagnosed by FISH (fluorescence in-situ hybridization) when using, e.g. a centromeric Y specific DNA probe of the DYZ3 locus (Genome Data Base accession no. 1665101). Diagnostic is the presence of two and sometimes four FISH spots (depending on the phase arrest of the squashed chromosomes) on the patients' metaphase Y chromosomes (**Figure 1A**). Identification of a *Ynf* chromosome in the patient's lymphocyte nuclei can explain the occurrence of azoospermia in his testis tubules, because in all cases where a testicular biopsy was evaluated spermatogenesis was blocked before or at meiosis. Most interesting to note is the variable number of X0 nuclei in the lymphocytes of this patient group (0–80%), suggesting a rather unstable *Ynf* chromosome structure (Sandberg, 1985).

Obviously, Yq11 macrodeletions were not suitable to map the *AZF* locus in Yq11 more precisely; it was impossible to distinguish molecularly caused and chromosomally caused male infertility in these heterogeneous patient groups. Most macro-Y chromosomal rearrangements in Yq11 were expected to interfere with the X–Y pairing gradient formed during leptotene. This pairing process is known to be functional for human spermatogenesis, inactivating both sex chromosomes at pachytene in a dense X–Y chromatin body ('sex vesicle'). Consequently, after some genomic heterogeneity of the Y long arm was also observed in fertile men, for a long time no-one believed in a functional *AZF* gene(s) locus, and it seemed to be impossible to distinguish the observed Yq11 macro-variabilities for selecting those that might indeed disrupt an essential *AZF* spermatogenesis gene.

AZF is subdivided into three spermatogenesis loci: AZFa, AZFb, AZFc

With regard to mapping the suspected Yq11 interval expressing the *AZF* locus, a general breakthrough only became possible after the establishment of some detailed molecular deletion maps of the human Y chromosome in different research groups. They were first based on Southern blots and the use of Y-specific probes selected from different Y-specific clone libraries (Vergnaud *et al.*, 1986; Ferguson-Smith *et al.*, 1987; Bardoni *et al.*, 1991; Ma *et al.*, 1992), then on the collection of a large number of sequence tagged sites (STS) selected from large clones mapped in Yq11 (Foote *et al.*, 1992; Vollrath *et al.*, 1992; Kirsch *et al.*, 1996) and application of polymerase chain reaction (PCR) technology. With these molecular tools, deletion mapping in Yq11 could be performed on a molecular scale and also with genomic DNA samples of infertile men with a normal karyotype (46,XY). The basic assumption behind the strategy to include also the 46,XY patient group in molecular deletion screening experiments was based on the general observation of high instabilities of the *Ynf* and Y ring

chromosomes (Fryns *et al.*, 1978; Diekmann *et al.*, 1992), suggesting that the Yq11 chromosome structure should contain a high number of Y specific repetitive sequence blocks promoting microdeletions similar to those shown for different pericentromeric chromosome regions (Lupski, 1998). Indeed, using 14 Y-specific probes previously mapped to a molecular interval map in Yq11 by Southern blotting, different microdeletions were detected in proximal and in distal Yq11 of some men with idiopathic azoospermia (Ma *et al.*, 1992; Vogt *et al.*, 1992). Using a large number of STS and PCR technology, the microdeletion in distal Yq11 was then found to be most prominent in azoospermic men and in oligozoospermic men with a normal karyotype (Reijo *et al.*, 1995, 1996). In the first large screening study with 370 individuals in the same patient groups and on the base of a detailed interval map in Yq11 (**Figure 1B**; 76 DNA loci), it then became possible to distinguish not only two but three different microdeletions, coined AZFa, AZFb and AZFc respectively (Vogt *et al.*, 1996). Since the observed Yq11 microdeletions occurred as 'de-novo' mutation events, i.e. were restricted to the patient's Y chromosome and not found in his family, polymorphic Y rearrangements were excluded as their origin and they could be considered as the putative molecular agents causing the men's infertility. Most interestingly, these microdeletions interrupted spermatogenesis at three different phases: (i) a complete Sertoli-cell-only syndrome (SCO) was observed in all patients with deletion of the complete AZFa interval, i.e. only Sertoli cells, but no germ cells were visible in the tubules of their testis tissue sections; (ii) arrest at the spermatocyte stage was observed in the testicular tissue of all patients with deletion of the complete AZFb interval. The populations of spermatogonia and primary spermatocytes in all tubules analysed were in the normal range; however, no post-meiotic germ cells were identified; (iii) in patients with AZFc deletions in distal Yq11, variable testicular pathology was found. In most tubules only Sertoli cells were identified, but in some tubules germ cells of different developmental stages were clearly visible and the occurrence of mature sperm cells albeit in a low number was reported from different laboratories (Kent-First *et al.*, 1996; Reijo *et al.*, 1996; Vogt *et al.*, 1996; Girardi *et al.*, 1997). Hypospermatogenesis seemed therefore to be the primary result of an AZFc deletion in distal Yq11.

This basic finding, association of the AZFa and AZFb microdeletions to a specific testicular histology, has been confirmed in numerous similar studies (Vogt, 1998; Schlegel, 2002; Krausz *et al.*, 2003). Thus, the early prediction of a functional *AZF* locus in Yq11 (Tiepolo and Zuffardi, 1976) was not only confirmed by these molecular studies but also extended by subdividing *AZF* now structurally in three distinct spermatogenesis loci: *AZFa*, *AZFb* and *AZFc* (**Figure 1B**).

Surprisingly, it has been also repeatedly reported that this basic conclusion was wrong and that also men with AZFa and AZFb deletions present a variable testicular histology (see, e.g. Qureshi *et al.*, 1996; Foresta *et al.*, 1997; Najmabadi *et al.*, 1997; Pryor *et al.*, 1997; Luetjens *et al.*, 2002). However, the molecular extensions of these Y deletions are most likely not comparable with that of the originally defined AZFa and AZFb deletion intervals (Vogt *et al.*, 1996), because in cases where a re-analysis was possible, only partial AZFa and AZFb deletions have been identified (Kamp *et al.*, 2001; Ferlin *et al.*, 2003; Krausz *et al.*, 2003).

A high frequency of AZFc deletions was found in men with idiopathic azoospermia (10–15%) or oligozoospermia (5–7%) in different populations (Vogt, 1998; Simoni, 2001; Krausz *et al.*, 2003). They are therefore acknowledged as the most common known genetic lesion in men, causing non-obstructive azoospermia or severe oligozoospermia. In rare cases AZFc deletions were also found as ‘familial’ deletion events, i.e. were inherited from father to son (Vogt *et al.*, 1996; Kleiman *et al.*, 1999; Saut *et al.*, 2000; Calogero *et al.*, 2002). It has therefore been argued that AZFc is not functionally involved in maintaining male fertility, and that probably also other Y deletions would not cause a spermatogenic defect because they are simply only polymorphic variations of a functional normal Y chromosome (Pryor *et al.*, 1997).

Indeed, if one considers the fact that many men with large deviations of the number of spermatozoa dropping below the values defined for normal male fertility by the World Health Organization (WHO; with $<20 \times 10^6$ per ml ejaculate) are known being able to father a child without artificial fertilization, the arguments of Pryor and colleagues sound reasonable. However, no AZFc deletion was found in genomic DNA samples of normozoospermic men, i.e. men with a sperm count above 20×10^6 per ml ejaculate (Schlegel, 2002; Krausz *et al.*, 2003), but only in DNA samples of men with a severe reduction in their sperm number ($<10 \times 10^6$ per ml ejaculate). In these oligozoospermic patients, AZFc deletions were found with a frequency of 5–10% and this frequency increased in men with a more severe sperm pathology like azoospermia or the oligoasthenoteratozoospermia (OAT) (see, for example, Foresta *et al.* 1997; Vogt, 1998; Krausz *et al.*, 2000, 2003; Schlegel, 2002). These studies clearly show a mutation effect of AZFc deletions on the men’s spermatogenic maturation process, reducing its efficiency and causing hypospermatogenesis. Indeed, this mutation effect might not cause immediately also male infertility, because the clinical definition of male fertility and infertility is obviously different from that of normal and abnormal spermatogenesis (see above). Primarily, AZFc deletions seemed to have only a specific impact on the men’s spermatogenesis process and not on his fertility, and it can be easily explained why in rare cases men with an AZFc deletion can father a child; they are fertile because their number of spermatozoa was still sufficient for a successful fertilization of their wives’ oocytes.

It has been proposed that most likely the reduction of spermatozoa in men with an AZFc deletion is indeed the primary mutation effect and that there are secondary mutation effects which might be also age-dependent leading eventually to a complete absence of mature spermatozoa (i.e. azoospermia) in the man’s semen fluid (Vogt *et al.*, 1996; Girardi *et al.*, 1997; Chang *et al.*, 1999; Saut *et al.*, 2000). If this holds true, it would be expected that the fathers with a familial AZFc deletion described in the literature are now azoospermic. Nevertheless, one has to keep in mind that most AZFc deletions are found as ‘de-novo’ mutations, i.e. being restricted to the patient’s Y chromosome and not present on the Y chromosome of other family members. This would be not expected if the AZFc microdeletions had a polymorphic origin.

Molecular structure of the three AZF loci in Yq11

After the genomic human Y DNA sequence has been completed (Skaletzky *et al.*, 2003), it can be shown that the long Y arm in Yq11 is indeed composed of numerous Y-specific repetitive sequence blocks (also called ‘amplicons’) as suggested earlier, and especially in the AZFc deletion interval (Kuroda-Kawaguchi *et al.*, 2001). Most interesting, the amplicons were structurally assembled in eight palindrome structures (P1–P8) with the largest palindrome (P1: 2.9 Mb) in distal AZFc (**Figure 1C**). The other palindromes, P2–P8, were mapped, from distal to proximal (towards the Y centromere) in distal AZFb (P2: 246 kb; P3: 736 kb), in proximal AZFb (P4: 419 kb; P5: 996 kb), and between the AZFa and AZFb deletion intervals (P6: 266 kb; P7: 30 kb; P8: 75 kb) respectively. These eight palindromes are highly symmetrical and comprise ~25% of the complete Y-specific sequence class, that is 5.7 Mb of the genomic Yq11 sequence. Sequence analyses of the homologous amplicons mapped in the different palindrome arms revealed extensive homologies between 99.940 and 99.997% along the complete amplicon sequence (Kuroda-Kawaguchi *et al.*, 2001; Repping *et al.*, 2002; Skaletzky *et al.*, 2003). They contain nine families of Y-specific protein coding genes all found to be expressed specifically in testis (**Table 1**). Their functional integrity is probably maintained by frequent gene conversion events between the homologous palindrome arms (Rozen *et al.*, 2003). Assuming a mutation rate of 1.6×10^{-9} per nucleotide per year and 2.2×10^{-4} conversions per duplicate nucleotide per generation (i.e. per 20 years) it has been calculated that along the 5.4 Mb length of the eight Y palindromes (i.e. 2.7×10^6 duplicated nucleotides) on average 600 duplicated nucleotides have undergone arm-to-arm gene conversion, thus distinguishing the Y chromosome of father and son (Rozen *et al.*, 2003). If this holds true, it can be assumed that there is no one reference Y chromosome in each human population, but that the different Y chromosome lineages now combined in one pedigree (Y Chromosome Consortium, 2002) might be distinguished also by the number of AZF genes. In addition, the numerous and discontinuous single STS deletions reported earlier in Yq11 of fertile men and infertile men (Najmabadi *et al.*, 1996; Stuppia *et al.*, 1996; Foresta *et al.*, 1997; Pryor *et al.*, 1997) may be polymorphic sequence variants, not useful for any fine mapping studies of a functional AZF sequence region but for studies exploring a putative association between distinct Y chromosome haplogroups and male reproduction (McElreavey and Quitana-Murci, 2003; Fernandes *et al.*, 2004; Repping *et al.*, 2004).

Intrachromosomal recombination events between two repetitive specific HERV15 (Human Endogenous Retroviral #15) sequence blocks in Yq11 are now known to cause the complete AZFa deletions (Blanco *et al.*, 2000; Kamp *et al.*, 2000; Sun *et al.*, 2000) and it could be shown that all the break and fusion points were located in a distance of 782 kb in two identical sequence blocks (ID1 and ID2) of the HERV15yq1 and HERV15yq2 sequence (Kamp *et al.*, 2001; **Figure 1B**).

Local homologies between repetitive sequence blocks in the P1 and P5 palindromes in distal AZFc and proximal AZFb respectively have probably led to all AZFb deletions (Repping *et al.*, 2002), whereas all complete AZFc deletions are caused by homologous recombinations between the 229-kb-long b2

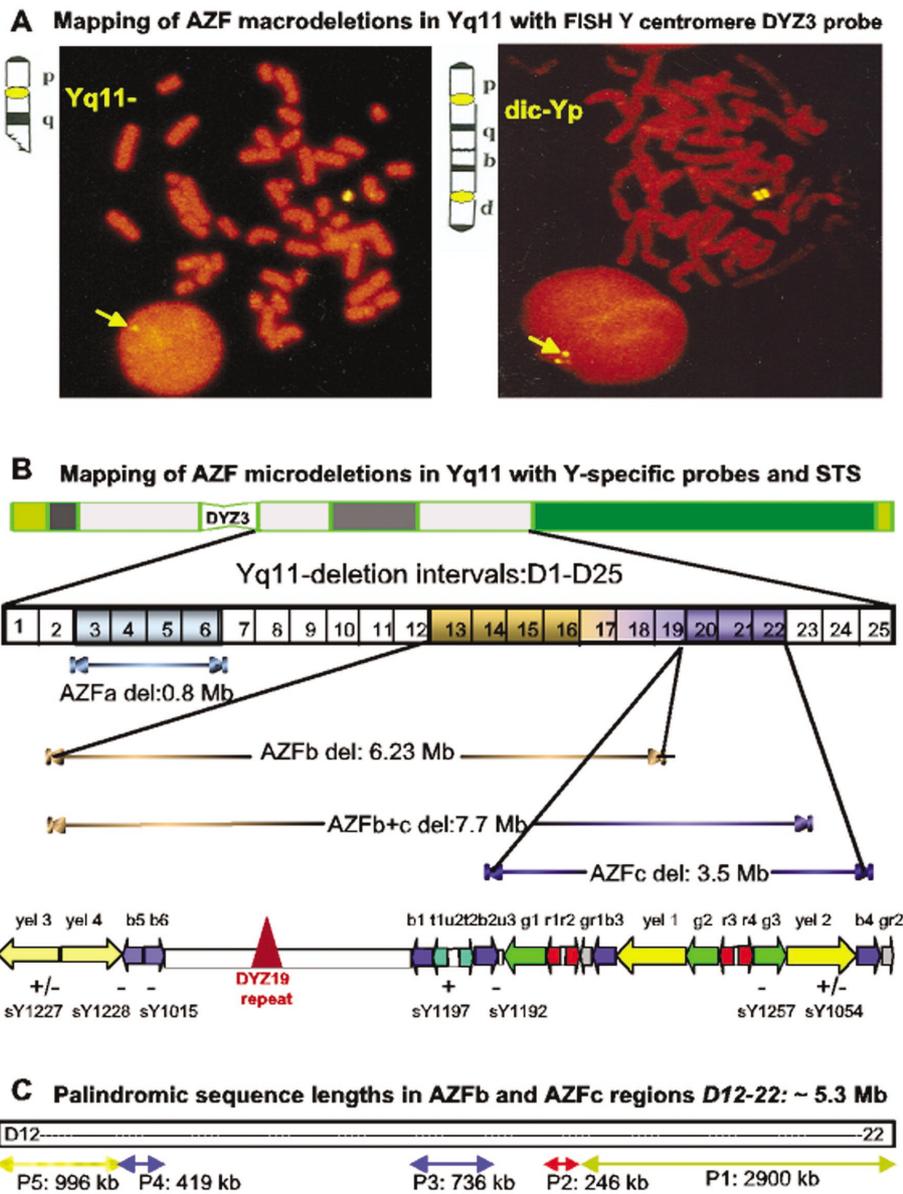


Figure 1. (A) Cytogenetic mapping of AZF-macrodeletions with Y-specific centromere probe DYZ3 and fluorescence in-situ hybridization (FISH) method on metaphase chromosomes and interphase nuclei. On the left a monocentric Yq11- chromosome is diagnosed with one FISH spot on the metaphase Y chromosome and the interphase nucleus (see arrow); on the right, a dicentric Yp chromosome is diagnosed because of a doubled FISH spot which is also visible doubled in the interphase nucleus (see arrow). A schematically drawn picture of both Yq11 macrodeletions; the location of the DYZ3 probe is shown on the left of the FISH pictures (marked in yellow). (B) Mapping of AZF microdeletions in Yq11 interval map D1–D25 (Vogt *et al.*, 1996) with Y specific probes and STSs. The three identified AZF regions are marked in the interval map with a specific colour code. Complete AZFa deletions have an extension of ~800 kb because always caused by recombination of the two homologous HERV15Yq1/q2 blocks located in D3 and D6 (Kamp *et al.*, 2001). Complete AZFb deletions with an extension of 6.23 Mb overlap with AZFc deletions as indicated and are caused by recombination between large homologous repetitive sequence blocks (Repping *et al.*, 2002) marked with the colour code of Kuroda–Kawaguchi *et al.* (2001). Recombination sites were mapped in P1.1 (yel1) of the P1 palindrome and in P5.1 or P5.2 (yel3 and yel4) of the P5 palindrome, respectively. Similarly, AZFc deletions are always caused by recombination between the blue amplicons b2 and b4 and have an extension of 3.5 Mb. In patients with AZFb+c deletion, the proximal breakpoint was also found in the P4 palindrome and the distal breakpoint not in the b4 amplicon but in the yellow amplicon yel2 (Repping *et al.*, 2002). The STS that can be used to distinguish the different recombination sites of AZFb and AZFb+c and AZFc deletions are given below the amplicons. In proximal AZFb, sY1227 can be positive or negative depending on the recombination site of the AZFb deletion in yel3 or yel4 respectively. Similarly, marker sY1054 is positive in patients with an AZFb+c deletion but negative in patients with an AZFc deletion. For more details of the corresponding PCR-experiments see the original papers: AZFa: Kamp *et al.* (2001); AZFb, AZFb+c: Repping *et al.* (2002); AZFc: Kuroda-Kawaguchi *et al.* (2001). (C) The five palindromic structures P1–P5 within the Yq11 intervals D12–22 are marked with a similar colour code to that used for the corresponding amplicons and their molecular extensions are given in kb. They cover 5.3 Mb of the complete AZFb and AZFc regions.

and b4 amplicons (Kuroda-Kawaguchi *et al.*, 2001). Interestingly, patients earlier diagnosed with deletion of the AZFb plus AZFc interval have not deleted the complete AZFc sequence but their AZFc deletion interval ends in the distal arm (P1.1) of the P1 palindrome (**Figure 1B**). The molecular extensions of these AZFb+c deletions were estimated with 7.7 Mb (Repping *et al.*, 2002).

The molecular extension of the AZFc deletion was estimated with 3.5 Mb (Kuroda-Kawaguchi *et al.*, 2001), a value largely different from the first (0.5 Mb) given by Reijo *et al.* (1995) but nicely coinciding with the estimation of ~3 Mb extracted earlier from a YAC contig of the AZFc deletion interval (Kirsch *et al.*, 1996). Most interesting, the genomic Y sequence analysis also confirmed that the AZFb interval overlaps with the proximal part of the AZFc deletion interval (**Figure 1B**), as was also proposed by the earlier genomic YAC contig analysis (Kirsch *et al.*, 1996).

This raised the question whether it still makes sense to define between AZFb and AZFc additionally an AZFd deletion interval (Katagiri *et al.*, 2004). The AZFd interval has been defined by three STS markers (Kent-First *et al.*, 1999), one (sY145) now mapped to the distal AZFb region and two (sY153, sY152) now mapped to the proximal AZFc interval. The sequence of sY152 is part of the repetitive intron 6 sequence in the *DAZI* and *DAZ4* gene copies, i.e. is also located in the distal AZFc region (Fernandes *et al.*, 2002). In summary, there is no molecular evidence for a distinct AZFd deletion interval, and also if restricted to the deletion of only sY153 as found earlier for some infertile patients (Kent-First *et al.*, 1999), a more realistic explanation for this single marker deletion event would be that the AZFd/sY153 site represents a polymorphic deletion in proximal AZFc, since sY153 deletions were also found in some patients' fathers (Vogt, 1998; Simoni *et al.*, 1999).

Gene content of AZFa, AZFb, AZFc loci

The putative complete gene content of each AZF microdeletion has now been identified (Skaletsky *et al.*, 2003). Thirty-one Y genes expressed in human testis and located in one of the AZF deletion intervals are registered. They can be subdivided into a list of 14 protein coding genes with a recognizable function because of one or more conserved functional peptide domains (**Table 1**) and in a list of 17 Y genes with a non-identified function because similar sequences have not yet been found in the database (**Table 2**). Three *AZFb* genes (*CDY2*, *RPS4Y2* and *XKRY*) have one more copy proximal to *AZFb* and four *AZFb* genes are located in the overlapping distal *AZFb*/proximal *AZFc* interval: one copy of the *Basic Protein Y 2* gene family (*BPY2.1*), one copy of the *CDY* gene (*CDY1.1*), two copies of the *Deleted in AZoospermia* gene (*DAZI*, *DAZ2*). Only two *AZFc* genes, *CSPG4LY*, *GOLGA2LY*, are located in the unique part of the *AZFc* interval. This might explain the more severe testicular pathology associated with complete *AZFb* deletions when compared with that after a complete *AZFc* deletion. The three Y genes listed in **Table 2** and designated with a *CYorf* symbol (*CYorf14*; *Cyorf15A*; *Cyorf15B*) are probably also encoding proteins but with unknown functions, whereas the 14 Y genes designated with a *TTY* (*Testis specific Transcription Y*) symbol

are declared as non-coding genes because their exon sequences apparently have no significant open reading frame (Lahn and Page, 1997; Skaletsky *et al.* 2003).

Interestingly, all *AZFa* genes and a number of functional *AZFb* genes have an X chromosomal counterpart (**Figure 2**), and some of them are conserved also on the mouse X and Y chromosomes (*Dbx/Dbx*, *Smcx/Smcy*, *Rbmx/Rbmy*, *Usp9x/Usp9y*). However, so far, their function in mouse spermatogenesis appears to be different from that in human spermatogenesis. In mouse, *Usp9y* is expressed in brain and testis tissue (Xu *et al.*, 2002), whereas the human homologue *USP9Y* is expressed in all tissues analysed (Lahn and Page, 1997). In mouse, deletion of most *Rbmy* genes did not cause male infertility but only some spermatozoa dysmorphologies (Mahadevaiah *et al.*, 1998). In humans, for patients with *AZFb* deletion no germ cells were found which cross-react with the RBMY-specific antiserum (Elliot *et al.*, 1997). This suggests an early function of the RBMY protein in human male germ cells.

The distinct spermatogenesis functions of the *DBY* *AZFa* gene, its X homologue, *DBX* (*DDX3X*) and their mouse homologues, *Dby/Dbx*, can be probably explained by the presence of a functional autosomal retrogene of the *Dbx* gene mapped on mouse chromosome 1 (*PLI0*, *DIPas1*) and with a testis specific transcription profile (Leroy *et al.*, 1989; Session *et al.* 2001). A similar functional *DBY* retrogene has not been found in the human genome (Kim *et al.*, 2001). The creation of intron-less retrogenes originating from their progenitor genes by retroposition of their transcripts seems to be a common mechanism for male germ line genes when they are located on the sex chromosomes and have a function at meiosis (Wang, 2004). Accordingly, *DIPas1* protein is expressed predominantly in the nuclei of germ cells undergoing meiosis (Session *et al.*, 2001), where transcription of the mouse *Dby* and *Dbx* genes is repressed because of the meiotic sex chromosome inactivation (MSCI) and formation of the sex vesicle (Fernandez-Capetillo *et al.*, 2003). In mouse, *DIPas1* might therefore have complemented or even taken over the spermatogenic *Dby* gene function. In humans, an autosomal functional *DBY* retrogene is not necessary, since the *DBY* function seems to be restricted to the pre-meiotic germ cell phase and also its X homologue, *DBX*, is expressed predominantly first in spermatids (Ditton *et al.*, 2004). In summary, it can be assumed that the spermatogenic functions of the human *AZFa* and *AZFb* genes and their homologous counterparts on mouse Y chromosomes are probably divergent because of a species-specific adaptation of their different germ line expression profiles, as found earlier for a number of autosomal genes functioning in the genetic network controlling male fertility (Wyckoff *et al.*, 2000).

The *AZFc* locus can be considered as an evolutionarily very young spermatogenesis locus because no *AZFc* gene family has been found on the mouse Y chromosome. The *BPY2* gene family might have evolved only recently during primate evolution. It contains three gene copies (*BPY2.1-3*) that were mapped with an identical exon structure (nine exons spanning ~25 kb) to the green amplicons (g1-g3). *BPY2* encodes a basic protein of 13.9 kDa, is transcriptionally active in spermatids and spermatozoa, and the protein probably involved in the cytoskeletal network of microtubules formed during the

Table 1. Human Y genes with putative spermatogenesis function mapped in AZFa, AZFb, AZFc deletion intervals^a.

<i>Gene symbol</i>	<i>Gene name</i>	<i>Number of copies and code</i>	<i>Protein function homologue to</i>	<i>Tissue RNA expression</i>	<i>Functional copies in Yp interval?</i>	<i>Yq11 interval</i>	<i>X chromosome homologue</i>	<i>Autosomal homologue</i>
<i>BPY2</i>	Basic protein Y, pI 10	BPY2.1–3	Novel	Only testis	No	AZFc, proximal, AZFb, distal	No	No
<i>CDY</i>	Chromosome domain Y	CDY1.1–2, CDY2.1–2	Chromatin-proteins and histone -acetyl -transferase	Only testis	No	AZFc (CDY1), AZFb +Yq11-D11 (CDY2)	No	6p24; CDYL1, 16q23; CDYL2
<i>CSPG4LY</i>	Chondroitin sulphate, proteoglycan 4 Like Y	CSPG4LY.1, CSPG4LY.2	Cadherins	Only testis	No	AZFc	No	15q24; CSPG4
<i>DAZ</i>	Deleted in Azoospermia	DAZ1, DAZ2, DAZ3, DAZ4	RNA binding, RRM proteins	Only testis	No	AZFc, AZFb-dist.: DAZ1/2	No	3p24; DAZL1, 2q33; BOULE
<i>DBY (DDX3Y)</i>	DEAD box Y	1	DEAD box RNA helicases	Multiple ^b	No	AZFa	DBX (DDX3X)	No
<i>EIF1AY</i>	Essential initiation, translation factor 1A Y	1	Translation initiation factor	Multiple	No	AZFb	EIF1AX	No
<i>GOLGA2LY</i>	Golgi autoantigen, golgin subfamily a2 like Y	GOLGA2LY.1, GOLGA2LY.2	CIS GOLGI matrix protein GM130	Only testis	No	AZFc	No	9q34; GOLGA2
<i>HSFY</i>	Heat-shock transcription factor, Y linked	HSFY1, HSFY2	HSP-2 like	Testis, kidney	No	AZFb	No	6q22; HSP2
<i>PRY</i>	PTP–BL related Y	PRY1, PRY2	Protein tyrosine phosphatase	Only testis	Prox Yp11, pseudogenes	AZFb, AZFc: pseudogenes	No	No
<i>RBMV</i>	RNA-binding motif Y-linked	RBMV1.1–6	RNA, binding RRM–proteins	Only testis	Prox. Yp11 pseudogenes	AZFb, AZFc: pseudogenes	RBMX	HNRNP G-T retrogene
<i>RPS4Y2</i>	Ribosomal Protein S4, Y linked 2	1	S4 ribosomal protein	Multiple	Distal Yp11, RPS4Y1	AZFb	RPS4X	No
<i>SMCY</i>	Selected mouse C DNA Y	1	H–Y antigen HLA B7	Multiple	No	AZFb	SMCX	No
<i>USP9Y</i>	Ubiquitin-specific protease 9 Y copy	1	Ubiquitin-specific protease	Multiple	No	AZFa	USP9X	No
<i>XKRY</i>	X–Kell blood group precursor related Y	XKRY.1–2	Putative membrane transport protein	Only testis	No	AZFb +Yq11-D11	No	No

^aAccording to Vogt *et al.* (1997); Kuroda-Kawaguchi *et al.* (2001); Repping *et al.* (2002); Skaletzky *et al.* (2003).^bAdditional RNA populations with smaller lengths were found only in testis tissue (Lahn and Page, 1997; Ditton *et al.*, 2004).

Table 2. Human Y-genes in AZFb, AZFc with unknown protein coding potential^a.

<i>Gene symbol</i>	<i>Gene name</i>	<i>Protein function homologue to</i>	<i>Tissue RNA expression</i>	<i>Copies in Yp interval</i>	<i>Yq11 interval</i>	<i>X or autosome homologue</i>
<i>CYorf14</i>	Chromosome Y, open reading frame 14	Unknown	Multiple	No	AZFb	X; cXorf 14
<i>CYorf15A^d</i>	Chromosome Y, open reading frame 15A	Unknown	Multiple	No	AZFb	X; cXorf 15
<i>CYorf15B^d</i>	Chromosome Y, open reading frame 15B	Unknown	Multiple	No	AZFb	X; cXorf 15
<i>TTY1</i>	Testis transcript Y1	No-protein encoding RNA	Only testis	Prox. Yp11	No	No
<i>TTY2</i>	Testis transcript Y2	No-protein, encoding RNA	Only testis	Prox. Yp11	AZFb	No
<i>TTY3</i>	Testis transcript Y3	No-protein, encoding RNA	Only testis	No	AZFc	No
<i>TTY4</i>	Testis transcript Y4	No-protein, encoding RNA	Only testis	No	AZFc	No
<i>TTY5</i>	Testis transcript Y5	No-protein, encoding RNA	Only testis	No	AZFb	No
<i>TTY6</i>	Testis transcript Y6	No-protein, encoding RNA	Only testis	No	AZFb	No
<i>TTY9</i>	Testis transcript Y 9	No-protein, encoding RNA	Only testis	No	AZFb	No
<i>TTY10</i>	Testis transcript Y10	No-protein, encoding RNA	Only testis	No	AZFb	No
<i>TTY12</i>	Testis transcript Y12	No-protein, encoding RNA	Only testis	No	AZFb	No
<i>TTY13</i>	Testis transcript Y13	No-protein, encoding RNA	Only testis	No	AZFb	No
<i>TTY14</i>	Testis transcript Y14	No-protein, encoding RNA	Only testis	No	AZFb	No
<i>TTY15</i> AZFaT1 ^b Phex152 ^c	Testis transcript Y15	No-protein, encoding RNA	Testis, brain	No	AZFa	No
<i>TTY16</i>	Testis transcript Y16	No-protein, encoding RNA	Only testis	No	AZFb	No
<i>TTY17</i>	Testis transcript Y17	No-protein, encoding RNA	Only testis	No	AZFc	No

^aAccording to Kuroda-Kawaguchi *et al.* (2001); Repping *et al.* (2002); Skaletzky *et al.* (2003). Although Skaletzky *et al.* described 23 TTY genes (TTY1–TTY23), only the 14 listed here are mapped on the Y chromosome sequence.

^bSargent *et al.* (1999).

^cDitton, Hirschmann, Vogt (1999), unpublished results.

^dDegenerated by splitting and having evolved from the same ancestral *CXorf15* gene.

post-meiotic elongation phase of the male germ cell (Wong *et al.*, 2003).

The *DAZ* gene family has been transposed from chromosome 3 (3p24; *DAZL1* locus) on to the Y chromosome after divergence of the Old World monkey line of primates, i.e. not before ~35 million years ago (Shan *et al.*, 1996; Seboun *et al.*, 1997). The two gene copies in proximal AZFc (*DAZ1/DAZ2*) form the P2 palindrome, whereas the two other gene copies (*DAZ3/DAZ4*) are located 1.47 Mb more distal in the centre of the large P1 palindrome in distal AZFc. In contrast to *BPY2*, and also the *CDY* gene family (see below), the exon structures of the *DAZ* gene copies are rather variable especially in the number of exon 7 variants also called '*DAZ-repeat*' (Saxena *et al.*, 2000; Fernandes *et al.*, 2002). Most likely, the *DAZ* gene family has evolved and established on the Y chromosome following a duplication–diversification process similar to that proposed by Hughes (1994). It would suggest that the *DAZ* genes are extending or improving the functional profile of their autosomal homologues, *DAZL1* on chromosome 3 and *BOULE* on chromosome 2 (Xu *et al.*, 2001).

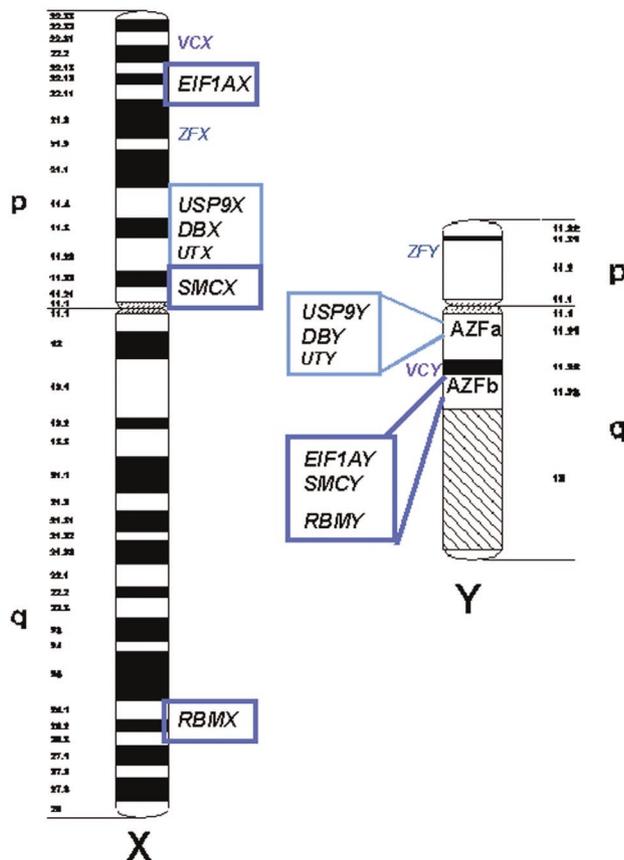


Figure 2. Schematic view on location of the functional homologues of the *AZFa* genes, *USP9Y* and *DBY*, and *AZFb* genes, *EIF1AY*, *SMCY*, *RBMY* on the human X chromosome. The X–Y homologous genes, *ZFY/ZFX* and *VCY/VCX*, are also expressed in human testicular tissue but mapped outside the AZF intervals. Interestingly, the *UTY* and *UTX* genes are clustering with the *AZFa* genes respectively, their X homologous, suggesting a structural conservation of this gene triplet on both sex chromosomes.

Unfortunately, it is currently not yet possible to distinguish the spermatogenic function of the *DAZ* genes on the Y chromosomes from that of the autosomal *DAZL1* gene. Whereas some authors proclaim an essential function of the *DAZ* genes in multiple cellular compartments at multiple phases of the male germ cell development (Reijo *et al.*, 2000), others found a restriction of *DAZ* gene products to the post-meiotic germ cell phase with transcripts only in early spermatids and protein in late spermatids and spermatozoa (Habermann *et al.*, 1998). Some of these discordant findings can probably be explained by the use of different polyclonal *DAZ* antisera, others by the use of gene probes not able to distinguish between transcripts or proteins of the *DAZ* or its autosomal ancestors, *BOULE* and *DAZL1*. In any case, one can assume that the complete *DAZ* gene family (i.e. including *BOULE* and *DAZL1*) is functional and essential for human spermatogenesis encoding different testis-specific RNA binding proteins probably involved in the translational control of transcripts of other germ line genes (Yen, 2004; see also paper by Reynolds and Cooke in this symposium). These might be as early as during fetal germ cell development, where Pumilio-2 (*PUM2*) proteins are functional interacting with *DAZ*-like proteins (Moore *et al.*, 2003), or at meiosis, where the translation of *CDC25* transcripts is probably controlled by *BOULE* protein (Luetjens *et al.*, 2004), or in late spermatids where *DAZL1* and *DAZ* proteins, both immunohistochemically identified although with different antisera (Habermann *et al.* 1998; Reijo *et al.* 2000) are functional interacting with the *DAZAP1* protein (Vera *et al.*, 2002).

The *CDY* gene family originates from a polyadenylated mRNA of the *CDYL* locus on chromosome 6, which has been then retrotransposed to the Y chromosome (Lahn and Page, 1999). In contrast to its autosomal homologue expressed in all tissues analysed, the *CDY* gene is expressed only in testis tissue. Interestingly, the *CDY1* gene copies in AZFc have evolved then further on a two-exon gene structure forming four alternative spliced transcripts encoding three different protein isoforms (Figure 3). Only the major *CDY1* transcript is identical in sequence to the *CDY2* transcript. All *CDY* isoforms are nuclear proteins with an N-terminal chromo-domain probably involved in chromatin binding, and a C-terminal domain catalytic in acetylation reaction. Accordingly, *CDY* proteins were recently identified as histone acetyltransferases with a strong preference for histone H4 and localized in the nuclei of maturing spermatids (Lahn *et al.*, 2002). Histone hyperacetylation in late spermatids results in a more open chromatin structure that not only facilitates the spermatogenic histone replacement, but also provides an easier access of transcriptionally regulatory proteins to the post-meiotic sperm DNA. Interestingly, the *CDY* autosomal homologue, *CDYL*, does not encode a testis specific RNA population, as found with the mouse homologue (*Cdy1*), but retained only its housekeeping transcripts during primate evolution. This suggests selection for functional diversification of the autosomal and Y gene copies during primate evolution, as discussed for the *DAZ* gene family above. Interestingly, although the *CDYL* gene also encodes a histone acetyltransferase, its protein sequence is only 63% homologous to that of the *CDY* protein (Lahn *et al.*, 2002). This suggests that the Y gene copies in human have been evolutionarily adapted for a specific spermatogenic function

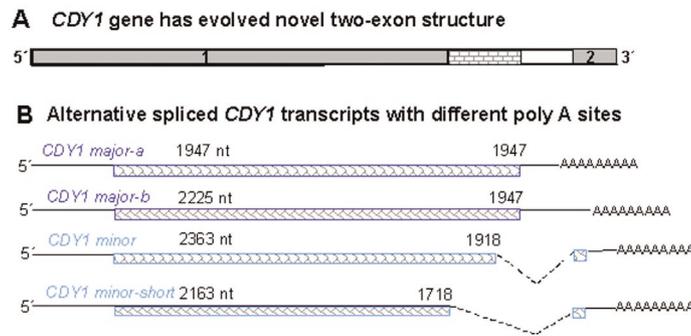


Figure 3. Schematic view of *CDY1* locus in AZFc. The transcription of this *CDY* gene copy in human testis produces at least four alternative spliced mRNAs published with the following GenBank accession numbers: *CDY1* major-a (AF080597), *CDY1* major-b (BC033041), *CDY1* minor (NM004680) and *CDY1* minor-short. The last variant was identified by Kleiman *et al.* (2001) and not deposited in the GenBank. Both minor variants spliced out an intron sequence from the *CDY1* exon at two different 5' splicing sites but with identical 3' splicing sites. This results in an alternative two-exon structure of the *CDY1* gene with a variable 5' intron part (A) and the translation of three protein isoforms. The extensions of their coding frames are given in numbers of nucleotides without the poly(A) tail (B).

(neofunctionalization) and that both genes have diverged probably already before primate evolution. In this context, it is interesting to note that a second autosomal *CDYL* gene, isolated in mouse and human (*CDYL2*; *Cdyl2*), both with a similar exon structure to that in the *CDYL/Cdyl* gene pair, were also divergent from each other in their amino acid sequences, as found for the *CDYL* and *CDY* genes (Dorus *et al.*, 2003). The *CDY*-related gene family offers thus another informative example of how duplicated genes can evolve towards divergent functionality with a tendency to transfer genes with a spermatogenic function to the Y chromosome.

Do AZF gene mutations cause male infertility?

Until now, an *AZF* gene mutation probably associated with the occurrence of male infertility has only been found for the *USP9Y* gene in AZFa. After sequence analysis of the *USP9Y* sequence in 564 patients with azoospermia or severe oligozoospermia, a 4 bp deletion including part of the splice-donor site of exon 8, was identified as ‘de-novo’ mutation in one patient with spermatid arrest (Sun *et al.*, 1999). This suggests a function of *USP9Y* during the post-meiotic phase of human spermatogenesis. However, the *AZF*a pathology is a complete SCO syndrome (Kamp *et al.*, 2001), and therefore expression of the *DBY* gene or other genetic factors in *AZF*a should also contribute to the *AZF*a spermatogenic function. Recently, it has been shown that the ubiquitous transcribed *DBY* is under translational control in male germ cells (Ditton *et al.*, 2004). The *DBY* protein was only found in human testis tissue, and its predominant location in spermatogonia and leptotene spermatocytes suggests that it contributes functionally to the differentiation process of premeiotic male germ cells. Therefore, the *DBY* gene can now be considered as the major *AZF*a spermatogenesis gene.

In AZFb, partial proximal deletions, not including the *PRY* and *RBM* gene loci but proven to be ‘de-novo’ deletion events, were associated with hypospermatogenesis (Ferlin *et al.*,

2003), whereas complete AZFb deletions usually cause a complete meiotic arrest (Vogt, 1998). This suggests that the *PRY* and/or the *RBM* gene family are the major *AZF*b spermatogenesis genes. Unfortunately, gene-specific ‘de-novo’ mutations have not yet been found in these gene families. However, it has been shown that both *AZF*b genes play a significant role during human spermatogenesis (Elliot *et al.*, 1997; Stouffs *et al.*, 2001, 2004).

*RBM*Y is now known as testis-specific splicing factor. It is homologous to *RBM*X on the X chromosome (Figure 2), also called the *HNRNP-G* gene, and to a functional retrogene *HNRNP G-T* on chromosome 11 (11p15) predominantly expressed in pachytene spermatocytes, (Elliott *et al.*, 2000). Expression of the *RBM*Y protein has been shown in the nuclei of A and B spermatogonia, spermatocytes and round spermatids. This suggests a role of this *AZF*b gene in the nuclear metabolism of newly synthesized RNAs in the germ cell at different phases (Elliott *et al.*, 1997; see also the paper by Ehrmann and Elliott in this symposium).

The structural and functional organization of the *RBM*Y/*RBM*X/*HNRNP-G-T* genes in human is reminiscent of that of the *Dby/Dbx/DIPas1* genes in the mouse genome (see above); different members of the *RBM*Y gene family have subdivided their germ line function to different spermatogenic phases and an autosomal retrogene is required for this function at meiosis. This would also explain why the lack of *RBM*Y proteins in men with *AZF*b deletions cannot be compensated for by the presence of *RBM*X and/or *HNRNP G-T* proteins.

The *PRY* gene family in AZFb consists of two full-length copies (*PRY1* and *PRY2*) with five exons. Pseudogene copies with deletion of the first two exons were mapped in AZFc and between AZFa and AZFb, a repeat structure of only exons 1 and 2 were found on the short and long Y arm (Stouffs *et al.*, 2001). That means that functional *PRY* gene copies were only found in the AZFb region. *PRY* encodes a protein phosphatase probably involved in the apoptotic degradation of

non-functional spermatozoa (Stouffs *et al.*, 2004). In men with normal spermatogenesis, the PRY protein was found in some spermatids and spermatozoa but the fraction of PRY positively staining spermatozoa increased from 1.5 to 51.2% in sperm samples with increased apoptotic DNA degradation visualized with the TUNEL reaction. PRY protein was not detected in premeiotic germ cells. Its contribution to the testicular pathology of men with AZFb deletion (meiotic arrest) is therefore questionable. Considering the fact that the RBMY protein is predominant in the pre-meiotic germ cells (Elliott *et al.*, 1997), deletion of both gene families in AZFb patients might cause the same testicular pathology as would be found after deletion of only the *RBMY* genes. However, an additional spermatogenic function of the *PRY* genes cannot be excluded, although it is not yet known where and how PRY proteins are functionally involved in the maturation process of the post-meiotic germ cells.

Specific mutations in a gene of the *AZFc* gene families are difficult to map because of the expected high rate of gene conversions in the *AZFc* palindromes resulting in an extremely high sequence homology, >99.9% (Kuroda-Kawaguchi *et al.*, 2001). A functional difference between genes mapped in proximal and distal *AZFc* respectively might be indicated by the work of Fernandes *et al.* (2002), who found deletions of the *DAZ1/DAZ2* gene doublet only in some men with severe oligozoospermia, but *DAZ3* and *DAZ4* deletions also in men with normal fertility. A similar conclusion can be drawn from the work of Repping *et al.* (2003), who found a proximal *AZFc* deletion in one infertile man caused by a b1/b3 recombination event and of Stuppia *et al.* (1996) who reported a family in which the Y chromosome of the fertile father had a deletion in distal *AZFc*, which then was enlarged in the Y chromosome of his infertile son towards proximal *AZFc*.

Can *AZFc* deletions be premutations for loss of the complete Y chromosome (X0 cells)?

Using spermatozoa of *AZFc* patients in intracytoplasmic sperm injection (ICSI), 100% transmission of the *AZFc* deletion to the male offspring has been reported (Kent-First *et al.*, 1996; Page *et al.*, 1999; Oates *et al.*, 2002). That means the sons of *AZFc* patients will become infertile as well. However, additionally, there seems to be a risk for *AZFc* offspring to develop some forms of gonadal dysgenesis or even sexual reversal as well. There is some evidence that the complete loss of the Y chromosome causing embryonic 45,X0 cells is triggered by *AZFc* deletions as premutations. A variable percentage of a X0 cell line was found associated with some *AZFc* deletions (Siffroi *et al.*, 2000; Jaruzelska *et al.*, 2001), as found earlier in the blood cells of patients with the Ynf or ring Y chromosomes (Sandberg, 1985). Screening the Y chromosome of 12 patients who had a 45X/46XY karyotype and presented with Turner stigmata and sexual ambiguities, a high incidence of *AZFc* deletions was found in this patient group (Patsalis *et al.*, 2002). In an international survey of prenatally diagnosed 45X/46XY mosaicism, a wide spectrum of phenotypes, including Turner syndrome, mixed gonadal dysgenesis, male

pseudohermaphroditism, mild mental retardation, autism, but also normal men have been reported (Chang *et al.*, 1990). Since one individual with ambiguous genitalia, 45,X/46,XY mosaic karyotype and *AZFc* deletion has also been reported (Papadimas *et al.*, 2001), it seems wise to consider seriously the general possibility of an extension of the father's *AZFc* deletion in his offspring and to offer these couples preimplantation genetic diagnosis (PGD) as discussed earlier (Mansour 2004), or at least prenatal diagnosis (PND) for revealing a mosaic 45X0/46XY-*del-AZFc* karyotype in the fetus as early as possible.

AZF microdeletions are diagnostic for the absence/presence of spermatozoa in testis tubules

The molecular analysis for complete *AZF* microdeletions in the Y chromosome of idiopathic azoospermic men is an attractive prognostic tool for the finding of mature spermatozoa in the patient's testis tissue without the need to extract an RNA sample from his testicular tissue biopsy and expression analysis of a post-meiotic marker gene. Since patients with a complete *AZFa* or *AZFb* deletion usually suffer from a complete absence of germ cells (*AZFa*) or a complete absence of post-meiotic germ cells (*AZFb*), clinical testicular sperm extractions (TESE) for ICSI treatment are not recommended for these patient groups, because they are usually not successful (Schlegel, 2002; Hopps *et al.*, 2003; Krausz *et al.*, 2003; Choi *et al.*, 2004). However, if a partial *AZFb*, *AZFc* or complete *AZFc* deletion is diagnosed in the patient's Y-DNA, testicular mature spermatozoa are often found (~50% of cases) at least in single testis tubules because residual local complete spermatogenesis is typical for these patient groups, eventually also resulting in the presence of some mature spermatozoa in the patient's ejaculate (i.e. cryptozoospermia). It is, however, important to stress that if only single STS deletions have been identified in *AZFa* or *AZFb*, they must be first analysed for their molecular extensions, as described above or in the literature (Vogt *et al.*, 1996; Simoni *et al.*, 1999; Kamp *et al.*, 2001; Repping *et al.*, 2002), since only the presence of complete *AZFa* and *AZFb* deletions on the patient's Y chromosome will predict the absence of spermatozoa in his testicular tubules.

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